

HOW TO IMPROVE BRANCH AUDIT RATING

DATE: Friday, September 15, 2017
FEE: PKR 8,500/- per participant
VENUE: Hotel Shalimar, Rawalpindi
TIMINGS: 9:00 am to 5:00 pm

5%
DISCOUNT
on 3-5 nominations

10%
DISCOUNT
on 6+ nominations

LAST DATE OF REGISTRATION
September 13, 2017

COURSE FACILITATOR
Dr. Waseem Ullah

COURSE OVERVIEW

Improving branch audit rating is very important from the perspective of performance of branch staff, because the performance appraisals of the staff posted in the field offices/ branches are usually linked with the Audit Category of their branch. Branch Managers, Operation Managers and other staff members of the branches need to know the areas of weakness, operational lapses, discrepancies, regulatory deviations etc. at branch level, due to which the Audit rating of the branch deteriorates and corrective measures should be adopted in order to have better results. This course has been designed for improving awareness regarding different audit discrepancies and instances which may be avoided for achieving improvement in branch audit rating.

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The Institute
of Bankers
Pakistan



LEARNING OBJECTIVES

The program is aimed at enabling the participants to understand:

- The operational areas where Internal Audit is more focused upon
- Nature and types of discrepancies/ objections and measures to avoid them
- Reasons for deviation from policies, rules, regulations & guidelines
- The tips, tools and techniques to be adopted for improving the Branch Audit Rating

COURSE CONTENTS

- Purpose and Scope of Internal Audit
- Link between Audit, Compliance and Internal Controls
- Internal Controls - Scope, Significance and Utility
- Nature and types of objections imposed during regular/ surprise Audits
- Highly focused areas where discrepancies are very common/ frequent that banks encounter, usually those of AML, KYC, CDD, EDD etc.
- Various rules, regulations & guidelines related to banking operations, which are bypassed at branch level
- Measures adopted to avoid audit objections and improve branch audit rating
- Case Study

WHO SHOULD ATTEND?

Different Officers/Executives working in the branches/offices including, but not limited to:

- Branch Managers
- Operation Managers
- Compliance Professionals
- Auditors

FACILITATOR

Dr. Waseem Ullah

Dr. Wasim is a high-profile professional having diversified experiences of more than 26 years in the financial services industry. He has served various renowned organizations in senior level positions and is currently working as Senior Vice President/Audit & Inspection Chief at National Bank of Pakistan (NBP). Prior to this, he has served as Chairman, Department of Accounting & Finance/Director at NBP, Training Manager at SBP, Joint Director Audit at SBP (BSC), Assistant Director Human Resources Department at SBP and Operations Manager at HBL. He is one of the senior trainers in the industry and has also contributed his services as a visiting faculty member in a number of reputable universities of Pakistan, where he has taught courses related to Risk Management, Banking and Finance. He is a corporate trainer and has conducted courses on subjects including Risk Management Framework for Islamic Financial Institutions, Accounting Framework, Financial Risk Management, Corporate Governance, Financial Statement Analysis, Basel Capital Accord, Regulatory Inspections, Bank Lending, Anti Money Laundering & Operational Risk Elements etc. He is a PhD, besides holding MS in Finance and MBA in Banking and Finance.

CONTACT DETAILS

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NOTE: Payment should be made on or before the course start date in the name of "THE INSTITUTE OF BANKERS PAKISTAN".
Please send nominations/requests for participation at least two days before the training date.